

Sec. A-25. Appropriations and allocations.

The following appropriations and allocations are made.

EFFICIENCY MAINE TRUST

Efficiency Maine Trust Z100

Initiative: BASELINE BUDGET

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$214,670	\$153,969	\$161,295	\$169,464
All Other	\$13,930,656	\$14,214,207	\$14,214,207	\$14,214,207
OTHER SPECIAL REVENUE FUNDS TOTAL	\$14,145,326	\$14,368,176	\$14,375,502	\$14,383,671

Justification:

Efficiency Maine Trust allocation is for the transferring of various utility assessments collected by the Public Utilities Commission and for personnel services costs related to those Efficiency Maine Trust employees that elected prior to July 1, 2010 to remain State Employees and retain their employee rights, privileges, and benefits.

Efficiency Maine Trust Z100

Initiative: Provides funding to more accurately reflect the transfers from Maine Public Utilities Commission based on anticipated dedicated revenue.

Ref. #: 1250

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	\$215,303	\$431,658
OTHER SPECIAL REVENUE FUNDS TOTAL	\$215,303	\$431,658

Justification:

This initiative adjusted All Other amount so the total allocation is equal to the revenues and transfers.

Efficiency Maine Trust Z100

Initiative: Reduces funding related to rebates for cost-effective renewable energy.

Ref. #: 1251

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	(\$360,000)	(\$360,000)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$360,000)	(\$360,000)

Justification:

Reflects discontinuation of revenue stream for renewables, which will reduce or eliminate rebates for customer-sited renewables.

Efficiency Maine Trust Z100

Initiative: Provides funding and adjusts the transfer amount to more accurately reflect the transfers needed to cover activities for a position in the Governor's Energy Office program.

Ref. #: 1252

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS

	2013-14	2014-15
All Other	\$110,326	\$118,225
OTHER SPECIAL REVENUE FUNDS TOTAL	\$110,326	\$118,225

Justification:

No justification provided

EFFICIENCY MAINE TRUST Z100

PROGRAM SUMMARY

	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$214,670	\$153,969	\$161,295	\$169,464
All Other	\$13,930,656	\$14,214,207	\$14,179,836	\$14,404,090
OTHER SPECIAL REVENUE FUNDS TOTAL	\$14,145,326	\$14,368,176	\$14,341,131	\$14,573,554

EFFICIENCY MAINE TRUST

DEPARTMENT TOTALS	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS	\$14,341,131	\$14,573,554
DEPARTMENT TOTAL - ALL FUNDS	\$14,341,131	\$14,573,554

Sec. A-28. Appropriations and allocations.

The following appropriations and allocations are made.

EXECUTIVE DEPARTMENT

Governor's Energy Office Z122

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
POSITIONS - LEGISLATIVE COUNT	0.000	2.000	2.000	2.000
Personal Services	\$0	\$138,482	\$147,043	\$150,350
All Other	\$250,000	\$1,894,074	\$1,894,100	\$1,894,100
FEDERAL EXPENDITURES FUND TOTAL	\$250,000	\$2,032,556	\$2,041,143	\$2,044,450
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	0.000	1.000	1.000	1.000
Personal Services	\$0	\$124,613	\$123,326	\$131,225
All Other	\$0	\$100,000	\$100,000	\$100,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$0	\$224,613	\$223,326	\$231,225

Justification:

The Governor's Office of Energy Independence and Security is established to carry out responsibilities of the State relating to energy resources, planning and development. The fund is non-lapsing.

**GOVERNOR'S ENERGY OFFICE Z122
PROGRAM SUMMARY**

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
POSITIONS - LEGISLATIVE COUNT	0.000	2.000	2.000	2.000
Personal Services	\$0	\$138,482	\$147,043	\$150,350
All Other	\$250,000	\$1,894,074	\$1,894,100	\$1,894,100
FEDERAL EXPENDITURES FUND TOTAL	\$250,000	\$2,032,556	\$2,041,143	\$2,044,450
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	0.000	1.000	1.000	1.000
Personal Services	\$0	\$124,613	\$123,326	\$131,225
All Other	\$0	\$100,000	\$100,000	\$100,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$0	\$224,613	\$223,326	\$231,225

Public Advocate 0410

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	9.000	8.000	8.000	8.000
Personal Services	\$1,045,955	\$922,213	\$979,309	\$1,006,223
All Other	\$566,700	\$566,700	\$565,799	\$565,799
OTHER SPECIAL REVENUE FUNDS TOTAL	\$1,612,655	\$1,488,913	\$1,545,108	\$1,572,022

Justification:

The Office of Public Advocate is charged by law to represent the interests of residential, commercial and industrial ratepayers of the electric, natural gas, telephone, water, and ferry utilities within the jurisdiction of the Public Utilities Commission (PUC). The Public Advocate may intervene in any proceeding before the PUC, and may petition the PUC to initiate proceedings to review, investigate and take appropriate action with respect to the rates or service of any utility or competitive service provider.

In fiscal year 2011-2012 the Office of Public Advocate intervened in 87 cases before the Maine PUC, or the Federal Energy Regulatory Commission (FERC), or the Federal Communications Commission (FCC) in Washington, D.C. The average cost per case was \$18,734. The Public Advocate staff estimate that savings attributable to these interventions and the arguments made in them, not duplicated by any other party, and incorporated in final PUC, FERC or FCC Orders, came to \$24,708,481. This amounts to an average of \$284,005 in savings to ratepayers for each of these interventions. The \$24,708,481 represents a savings of \$16.59 for every \$1 of the Public Advocate's budget.

Additionally, the Office of Public Advocate periodically publishes a "Ratewatcher Telecom Guide" and an "Electricity Guide", and hosts a number of "telephone clinics" around Maine where we offer advice and suggestions to individual telephone customers on how to get the telecommunication services they desire at the lowest cost.

Public Advocate 0410

Initiative: Provides funding for a portion of the cost of the Office of Chief Information Officer in the Department of Administrative and Financial Services.

Ref. #: 1385

Committee Vote: _____

AFA Vote: _____

	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS		
All Other	\$1,416	\$1,416
OTHER SPECIAL REVENUE FUNDS TOTAL	\$1,416	\$1,416

Justification:

The Public Advocate Office requires an adjustment in its funding level to fund a new assessment from the Office of Chief Information Officer.

Public Advocate 0410

Initiative: Provides funding for website maintenance.

Ref. #: 1386

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS

2013-14

2014-15

All Other

\$3,000

\$3,000

OTHER SPECIAL REVENUE FUNDS TOTAL

\$3,000

\$3,000

Justification:

The Public Advocate requires an adjustment in its funding level to fund website maintenance with InforME.

Public Advocate 0410

Initiative: Provides funding for consultant services related to additional duties assigned by Public Law 2011, chapter 79.

Ref. #: 1387

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS

2013-14

2014-15

All Other

\$75,000

\$100,000

OTHER SPECIAL REVENUE FUNDS TOTAL

\$75,000

\$100,000

Justification:

"In 2011 the Legislature gave the OPA two new duties (Public Laws of 2011, chapter 79). One of the duties directs the OPA to represent and promote the interests of Maine utility ratepayers ""in regional and national forums, including but not limited to any proceeding of an independent system operator or the Federal Energy Regulatory Commission"" and the other duty directed us to promote stabilization and lowering of prices paid by Maine ratepayers.

The combination of these two new duties was the justification for the OPA becoming a member of NEPOOL, the organization which allows us to more effectively work on reducing electricity prices for Maine industries, commercial businesses, and residential customers at Independent System Operator -New England (ISO-NE). In order to maximize our effectiveness in influencing pricing decisions at ISO-NE while keeping our costs down, we need to engage a consultant who will attend the many ISO-NE committee meetings and advocate, on Maine's behalf, for actions that will have the effect of stabilizing and lowering electricity prices paid by Maine customers. In order to carry out these two new duties effectively over the next several years we will need an additional allocation of funds of \$106,100 (to our All Other line) in the coming biennium to support this activity at ISO-NE.

These funds will enable the OPA, through our ISO-NE consultant, to maintain a regular and consistent presence and advocacy in the ISO-NE forums where decisions are made which are major factors in the price of electricity in Maine (and the other New England states). Without this constant presence we would not know when, and on which issues, our advocacy can have an influence on those decisions which, taken together, effect electricity prices. The ISO-NE committee processes often take one or more years to develop a consensus on what actions to implement at the regional level, and the shaping of the consensus requires presence and advocacy at every meeting of the important committees. Without these additional funds we will not be in a position to play a meaningful role in shaping these decisions."

Public Advocate 0410

Initiative: Provides funding for additional file service storage.

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	\$222	\$222
OTHER SPECIAL REVENUE FUNDS TOTAL	\$222	\$222

Justification:

Funds the additional file service storage that the Public Advocate Office has required.

**PUBLIC ADVOCATE 0410
PROGRAM SUMMARY**

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	9.000	8.000	8.000	8.000
Personal Services	\$1,045,955	\$922,213	\$979,309	\$1,006,223
All Other	\$566,700	\$566,700	\$645,437	\$670,437
OTHER SPECIAL REVENUE FUNDS TOTAL	\$1,612,655	\$1,488,913	\$1,624,746	\$1,676,660

EXECUTIVE DEPARTMENT

DEPARTMENT TOTALS	2013-14	2014-15
FEDERAL EXPENDITURES FUND	\$2,041,143	\$2,044,450
OTHER SPECIAL REVENUE FUNDS	\$1,848,072	\$1,907,885
DEPARTMENT TOTAL - ALL FUNDS	\$3,889,215	\$3,952,335

Sec. A-63. Appropriations and allocations.

The following appropriations and allocations are made.

PUBLIC UTILITIES COMMISSION

Emergency Services Communication Bureau 0994

Initiative: BASELINE BUDGET

GENERAL FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$0	\$3,747,984	\$3,747,984	\$3,747,984
GENERAL FUND TOTAL	\$0	\$3,747,984	\$3,747,984	\$3,747,984
OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	5.000	5.000	5.000	5.000
Personal Services	\$435,530	\$442,215	\$433,936	\$457,669
All Other	\$7,932,221	\$7,911,401	\$7,915,276	\$7,916,513
OTHER SPECIAL REVENUE FUNDS TOTAL	\$8,367,751	\$8,353,616	\$8,349,212	\$8,374,182

Justification:

The Emergency Services Communication Bureau (ESCB), originally established as a Public Safety Bureau in 1996 and moved to the Public Utilities Commission in 2003, manages the statewide Enhanced 9-1-1 (E9-1-1) system, which is the component of the statewide emergency response system that displays the telephone number and physical location of an E9-1-1 caller to the calltaker at a Public Safety Answering Point (PSAP).

Emergency Services Communication Bureau 0994

Initiative: Transfers 3 GIS Coordinator positions and one Systems Team Leader position from the Information Services program in the Department of Administrative and Financial Services to the Emergency Services Communication Bureau in the Public Utilities Commission to perform geographic information system and related activities required for the E-9-1-1 program. Adjusts funding for technology costs related to the transfer of these positions and functions.

Ref. #: 2456

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	4.000	4.000
Personal Services	\$341,814	\$354,645
All Other	(\$390,379)	(\$382,532)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$48,565)	(\$27,887)

Justification:

The four positions will perform and have been performing services on the E-9-1-1 project. The new NG9-1-1 system runs on GIS and it will be beneficial to have this staff report directly to the Emergency Services Communication Bureau. Currently, a Memorandum of Understanding provides reimbursement for these costs to the Department of Administrative and Financial Services - Office of Information Technology from the Public Utilities Commission. The elimination of the application costs related to the GIS (mapping) and addressing activities reduces technology costs and end-user services for these four positions increases the technology costs.

Emergency Services Communication Bureau 0994

Initiative: Provides funding for the department's portion of the Office to Chief Information Officer, Department of Administrative and Financial Services.

Ref. #: 2457 Committee Vote: _____ AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	\$5,000	\$5,000
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$5,000</u>	<u>\$5,000</u>

Justification:

The contract for the Damage prevention application services has ended, thus the costs are eliminated. The OENE Support Contract is a three-year contract to support maintenance and upgrades to our audiovisual system. The amount was determined by reviewing the three-year contract and assigning the correct portion to the relevant fiscal year. The INFORME service is for an annual Web Support Contract, which provides support and maintenance of the Commission's website. The annual contract is expected to continue in future years. The agency's OCIO cost is apportioned between the Emergency Services Communication account and the PUC Regulatory Fund account. The 4000 object is increased to account for anticipated expenditures.

Emergency Services Communication Bureau 0994

Initiative: Reduces funding for technology services.

Ref. #: 2458 Committee Vote: _____ AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	(\$84,906)	(\$84,406)
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>(\$84,906)</u>	<u>(\$84,406)</u>

Justification:

Reduces funding for technology application costs related to the dedicated circuit for the infrastructure system that supports 911 high speed connection and the cost of the Sonet Ring project. Both services are eliminated in fiscal years 2013-14 and 2014-15. Increases funding to reflect end-user costs for Emergency Services Communication Bureau personnel.

Emergency Services Communication Bureau 0994

Initiative: Reduces funding no longer required in fiscal year 2013-14 to operate 2 E-911 systems during a transition period and eliminates funding in fiscal year 2014-15.

Ref. #: 2459 Committee Vote: _____ AFA Vote: _____

GENERAL FUND	2013-14	2014-15
All Other	(\$2,607,984)	(\$3,747,984)
GENERAL FUND TOTAL	<u>(\$2,607,984)</u>	<u>(\$3,747,984)</u>

Justification:

The need for General Fund appropriation to cover costs incurred as a result of the need to operate two E-9-1-1 systems while the operations transition from the existing system to the new system will be reduced in fiscal year 2013-14 and eliminated in fiscal year 2014-15. A single system should be in place by fiscal year 2014-15.

**EMERGENCY SERVICES COMMUNICATION BUREAU 0994
PROGRAM SUMMARY**

GENERAL FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$0	\$3,747,984	\$1,140,000	\$0
GENERAL FUND TOTAL	\$0	\$3,747,984	\$1,140,000	\$0

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	5.000	5.000	9.000	9.000
Personal Services	\$435,530	\$442,215	\$775,750	\$812,314
All Other	\$7,932,221	\$7,911,401	\$7,444,991	\$7,454,575
OTHER SPECIAL REVENUE FUNDS TOTAL	\$8,367,751	\$8,353,616	\$8,220,741	\$8,266,889

Oversight and Evaluation Fund Z106

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
All Other	\$138,500	\$138,500	\$138,500	\$138,500
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$138,500</u>	<u>\$138,500</u>	<u>\$138,500</u>	<u>\$138,500</u>

Justification:

Public Law 2009, chapter 372 requires the Public Utilities Commission to establish an oversight fund to be used solely to defray the commission's projected cost of overseeing the Efficiency Maine Trust, including but not limited to reviewing and approving the triennial plan and contracting with expert 3rd-party resources to provide technical assistance or impartial evaluation of the performance of energy efficiency programs administered by the trust.

Oversight and Evaluation Fund Z106

Initiative: Reduces funding to align expenditures based on estimated need.

Ref. #: 2462

Committee Vote: _____

AFA Vote: _____

	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS		
All Other	(\$118,500)	(\$118,500)
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>(\$118,500)</u>	<u>(\$118,500)</u>

Justification:

The Public Utilities Commission's next review of the Efficiency Maine Trust's triennial plan occurs in fiscal year 2012-13. Therefore, a three-year review will next occur in fiscal year 2015-16. It is possible a change review will be required in fiscal years 2013-14 or 2014-15 and an outside consultant may be required.

**OVERSIGHT AND EVALUATION FUND Z106
PROGRAM SUMMARY**

	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
All Other	\$138,500	\$138,500	\$20,000	\$20,000
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$138,500</u>	<u>\$138,500</u>	<u>\$20,000</u>	<u>\$20,000</u>

Public Utilities - Administrative Division 0184

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$50,000	\$50,000	\$50,000	\$50,000
FEDERAL EXPENDITURES FUND TOTAL	\$50,000	\$50,000	\$50,000	\$50,000
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	56.000	56.000	56.000	56.000
POSITIONS - FTE COUNT	0.250	0.250	0.250	0.250
Personal Services	\$5,194,247	\$5,336,763	\$5,560,546	\$5,862,642
All Other	\$2,012,485	\$2,512,485	\$2,513,414	\$2,513,502
OTHER SPECIAL REVENUE FUNDS TOTAL	\$7,206,732	\$7,849,248	\$8,073,960	\$8,376,144

Justification:

The Maine Legislature established the Public Utilities Commission (Commission) in 1913 as a quasi-judicial independent state agency. The Commission has jurisdiction over approximately 600 utility companies and districts that generate approximately \$1 billion a year in electric, telephone, water and gas utility revenues.

**PUBLIC UTILITIES - ADMINISTRATIVE DIVISION 0184
PROGRAM SUMMARY**

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$50,000	\$50,000	\$50,000	\$50,000
FEDERAL EXPENDITURES FUND TOTAL	\$50,000	\$50,000	\$50,000	\$50,000
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	56.000	56.000	56.000	56.000
POSITIONS - FTE COUNT	0.250	0.250	0.250	0.250
Personal Services	\$5,194,247	\$5,336,763	\$5,560,546	\$5,862,642
All Other	\$2,012,485	\$2,512,485	\$2,513,414	\$2,513,502
OTHER SPECIAL REVENUE FUNDS TOTAL	\$7,206,732	\$7,849,248	\$8,073,960	\$8,376,144

PUBLIC UTILITIES COMMISSION

DEPARTMENT TOTALS	2013-14	2014-15
GENERAL FUND	\$1,140,000	\$0
FEDERAL EXPENDITURES FUND	\$50,000	\$50,000
OTHER SPECIAL REVENUE FUNDS	\$16,314,701	\$16,663,033
DEPARTMENT TOTAL - ALL FUNDS	\$17,504,701	\$16,713,033

PART GGG

Sec. GGG-1. Transition provision; emergency services communications matters.

The following provisions apply to the reassignment of duties, responsibilities and activities of four authorized positions in the Department of Administrative and Financial Services, Office of Information Technology's Systems Integration and Governance Division responsible for 911 emergency services communications addressing and mapping.

1. Four authorized positions and incumbent personnel as of June 8, 2013 in the Department of Administrative and Financial Services, Office of Information Technology that are assigned to that office's Systems Integration and Governance Division are transferred to the Public Utilities Commission's Emergency Services Communication Bureau effective June 9, 2013. These employees will retain all their employee rights, privileges and benefits, including sick leave, vacation and seniority, provided under the Civil Service Law or collective bargaining agreements.

2. All records, property and equipment pertaining to the duties, responsibilities and activities performed by the four authorized positions in the Department of Administrative and Financial Services, Office of Information Technology's Systems Integration and Governance Division must be transferred to, and become the property of, the Public Utilities Commission's Emergency Services Communication Bureau.

SUMMARY PART GGG

This Part provides the transition provisions applicable to the transfer of four positions and the responsibility of those positions from the Department of Administrative and Financial Services to the Public Utilities Commission.